

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

## NOTICE OF ALLOWANCE AND FEE(S) DUE

12/18/2007

i2 TECHNOLOGIES US, INC. ONE i2 PLACE, 11701 LUNA ROAD DALLAS, TX 75234

**EXAMINER** 

TARAE, CATHERINE MICHELLE

ART UNIT

PAPER NUMBER

3623

DATE MAILED: 12/18/2007

1	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
•	09/992.283	11/05/2001	Douglas F. Connor	020431.1055	7058	

09/992,283

Douglas F. Connor

TITLE OF INVENTION: GENERATING A RISK ASSESSMENT REGARDING A SOFTWARE IMPLEMENTATION PROJECT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$0	\$0	\$1440	03/18/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### **HOW TO REPLY TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown
- B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further correspondence including the Patent, advance orders and notification indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new maintenance fee notifications.  CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the				
				Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
53184		3/2007			Cert	ificate	of Mailing or Transn	nission
	OGIES US, INC.	A.D.		I here	eby certify that this Postal Service w	s Fee(s	s) Transmittal is being ficient postage for first	deposited with the United class mail in an envelope
ONE i2 PLACE, 11701 LUNA ROAD DALLAS, TX 75234				I hereby certify that this Fee(s) Transmittal is being of States Postal Service with sufficient postage for first addressed to the Mail Stop ISSUE FEE address a transmitted to the USPTO (571) 273-2885, on the dat				above, or being facsimile
DALLAS, IX I			·	- (57	1) 275 2005, On the da	(Depositor's name)		
•								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
09/992,283	11/05/2001		Douglas F. Conno	r	020431.1055		020431.1055	7058
TITLE OF INVENTION	I: GENERATING A RIS	K ASSESSMENT REGA	ARDING A SOFTWAR	RE IM	IPLEMENTATIO	N PRO	DJECT	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$0		\$0		\$1440	03/18/2008
EXAM	INER	ART UNIT	CLASS-SUBCLASS		]			
TARAE, CATHE	RINE MICHELLE	3623	705-010000					
	ence address or indicatio	n of "Fee Address" (37	2. For printing on t	he pa	tent front page, lis	t		
CFR 1.363).	ondence address (or Cha	nge of Correspondence	(1) the names of up to 3 registered patent attorneys  or agents OR, alternatively,					
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			(2) the name of a single firm (having as a member a 2					
☐ "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	lication (or "Fee Address 20 or more recent) attach	" Indication form and. Use of a Customer	registered attorney 2 registered patent listed, no name wil	or ag	gent) and the name nevs or agents. If r	s of u	p to	
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print o	r type	:)			
PLEASE NOTE: Uni	less an assignee is ident h in 37 CFR 3.11. Com	ified below, no assignee	data will appear on the	he pat	ent. If an assigne	e is id	lentified below, the do	cument has been filed for
(A) NAME OF ASSI	_	nonon or and rorm is 110	(B) RESIDENCE: (C		_			
. ,							,	
								_
Please check the appropr	iate assignce category or	categories (will not be pr	rinted on the patent):	<u> </u>	Individual U Co	rporati	on or other private grou	p entity Government
4a. The following fce(s)	are submitted:	41			e first reapply an	y prev	iously paid issue fee sl	hown above)
☐ Issue Fee ☐ A check is enclo								
			☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any					
Advance Order -	# of Copies		overpayment, to D	Peposi	t Account Number	ge the r	equired fee(s), any den	extra copy of this form).
	tus (from status indicated s SMALL ENTITY state		☐ h Annligant is no	longe	or claiming SMAI	I ENIT	TITY status. See 37 CF	P 1 27(a)(2)
								assignee or other party in
nterest as shown by the	records of the United Sta	tes Patent and Trademark	Office.					
Authorized Signature	100		Date					
Typed or printed name			Registration No	o				
This collection of inform	ation is required by 37 C	FR 1.311. The information	on is required to obtain	or ret	tain a benefit by th	e publ	ic which is to file (and	by the USPTO to process) gathering, preparing, and e you require to complete tment of Commerce, P.O. or Patents, P.O. Boy, 1450
ubmitting the completed	d application form to the	USPTO. Time will vary	depending upon the in	s estin ndivid	hated to take 12 m	nnutes nments	s on the amount of tim	e you require to complete
nis form and/or suggesti	ons for reducing this but	raen, should be sent to the	Chief Information Of	Hicer,	U.S. Patent and T	radem	ark Office, U.S. Depar	tment of Commerce, P.O.

Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/992,283	11/05/2001	Douglas F. Connor	020431.1055	7058		
53184	7590 12/18/2007		EXAM	INER		
i2 TECHNOL	OGIES US, INC.		TARAE, CATHERINE MICHELLE			
	, 11701 LUNA ROAD	ART UNIT	PAPER NUMBER			
DALLAS, TX 7	5234	·	3623			
			DATE MAILED: 12/18/2007			

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1089 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1089 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.